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*Dee Plunk*  
PATENT

Docket No. 304142000321

8/12/97

**CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"**

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Assistant Commissioner for Patents, Washington, D.C. 20231, on July 16, 1997.

*Jean Gillespie*

Jean Gillespie

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GROUP 1800

In the application of:

MALAYA CHATTERJEE et al.

Serial No.: 08/766,350

Filing Date: 13 December 1996

For: MURINE MONOCLONAL ANTI-  
IDIOTYPE ANTIBODY 11D10 AND  
METHODS OF USE THEREOF

Examiner: Unassigned

Group Art Unit: Unassigned

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, applicants submit for consideration in the above-identified application the references 1-3, 6-8, 11-25, 27-29, 31-33, 36-42, 47-76, 79-92 and 94 listed on the attached Form PTO-1449. Copies of the documents were previously submitted in an Information Disclosure Statement dated June 28, 1996, directed to the related application Serial Number 08/591,965 and, accordingly, copies are not included herewith (note: application serial no. 08/591,965 was converted to provisional application no. 60/035,345 on December 11, 1996). This protocol conforms with 37 C.F.R. § 1.98(d) and M.P.E.P. 609(A)(2).

The following references, listed as 4-5, 9-10, 26, 30, 34-35, 43-46, 77-78, 93 and 95-98 on the attached Form PTO-1449, may be material to the above-identified patent application. The Examiner is requested to make these references of record as well. Copies are included herewith.

This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before receipt of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before a final Office Action or Notice of Allowance.
  - ☐ A fee is required. An authorization to charge the deposit account is provided below.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- ☐ After receipt of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

This Information Disclosure Statement is submitted before receipt of the first Office Action on the merits. Therefore, applicant believes that no fee is due. However, the Assistant Commissioner is hereby authorized to charge any fees which may be required by this statement to **Deposit Account Number 03-1952.**

Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

This Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the

like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

Dated: July 16, 1997

Respectfully submitted,

By: Catherine M. Polizzi  
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